

Agenda item No: 9

EAST MIDLANDS IMPROVEMENT AND EFFICIENCY PARTNERSHIP BOARD MEETING: 10th November 2008

Report of the Director

Adult Social Care Funding Allocation

1. Purpose of the report

- 1.1 This report sets out proposals for expenditure of ring fenced CLG grant to support the Transformation of Adult Social Care.

2. Background

- 2.1 At its last meeting the Board rejected a proposal for expenditure of core funding on adult social care. Following on from this the Joint Improvement Partnership (JIP), led by Directors of Adult Social Services, has worked on revised proposals to support the transformation of adult social care, working with RIEP officers, DH, GOEM and the NHS to make proposals for effective use of ring-fenced monies allocated by the departments of Communities and Local Government (CLG) and Health (DH).
- 2.2 The EMIEP Board is now asked to release the £212,000 of Communities and Local Government funding, allocated for delivery via EMIEP. of improvement and efficiency in adult social care. These funds will be matched by £200,000 of DH Adult Social Care Grant and £250,000 additional funds from the NHS and other sources. The programme outlined here will drive a regional programme of efficiency and improvement in adult social care, working in partnership with the NHS and other key regional partners.
- 2.3 Elected Members with a lead for Adult Social Care already meet on a regular basis. A named Elected Member will be actively involved with each element of the JIP's work programme, and will provide progress reports at their quarterly meetings.

3. Summary of JIP funding programme 2008/09

- 3.1 The JIP currently has six work programmes, each led by a Director of Adult Social Services:
- Personalisation: co-ordinating the changes needed for Putting People First and driving efficiency
 - Health, Well-being and Quality of life: including redesign of services
 - Procurement
 - Leadership and Workforce

- Safeguarding
- Learning Disabilities: overseeing the changes to support the national strategy: Valuing People Now

3.2 Each of the programme boards has identified priorities to help drive forward the transformation agenda over the next eighteen months. This is complemented by work already being taken forward by staff working in councils and regional organisations. There are two major projects proposed as part of the regional programme:

- capacity to drive the regional programme, with initial outputs including key pieces of work such as financial modelling to support transformation and models for brokerage and management of individual budgets
- a project involving each council on work to deliver efficiency alongside transformation, applying nationally developed tools, with a strong evidence base for achieving efficiency

Both of these pieces of work are critical to managing the pressures of population changes and budgets alongside provision of choice and control to individual service users.

3.3 The overall plan for expenditure in 2008/09 is provided in appendix 1 attached:

4 Funding sources:

Funding source	£ 2008/09	£ 2009/10
Adult Social Care Reform Grant (includes £100,000 committed on projects to support the transformation agenda)	£200,000	£200,000
CLG allocation for adult social care to be released through RIEP	£212,000	tbc
Match funding – including £200,000 NHS funds to be allocated through the JIP programme boards	£250,000	tbc
Total funding for programme allocated in 2008/09	£662,000	tbc

4.1 Appendix 2 contains the proposed two year investment programme over the six major improvement themes .

5 Programme governance arrangements

5.1 The following arrangements are in place or in the process of being put in place:

- The ADASS group is reviewing the JIP arrangements with a view to establishing an effective programme board and processes to monitor progress on the project deliverables.

- Elected Members with a lead for Adult Social Care meet quarterly and will review progress on the JIP at these meetings. They have nominated Members to get actively involved with each work programme.
- Each project falls under a JIP work stream, which is chaired by a Director of Adult Social Services.
- A Project Initiation Document (PID) has been produced for each of the projects, which has been developed with engagement of members of the relevant Programme Board.
- Each project will have a senior manager sponsor, usually from the local authority or NHS, who will oversee the project and report back to the relevant Programme Board.
- Each project will have a project manager.

6. Department of Health and other match funding

- 6.1 The Department of Health's Deputy Regional Director of Social Care (DRD) is satisfied that the Adult Social Care Reform Grant, £200,000 can be committed to support this work programme in 2008/09.
- 6.2 The DRD would be keen to see agreement by the RIEP to match fund the DH £200,000 in 2009/10 so that the JIP executive can plan ahead, with an updated plan to be submitted to the EMIEP Board by March 2009. It is envisaged that once the infrastructure is in place to drive forward the programme, there will be significant further scope for efficiency and improvement that can be supported by the RIEP to move this important work programme forward.
- 6.3 The NHS is providing £200,000 to support work on personalisation for people with mental health problems and the implementation of the national dementia strategy. A further £25,000 DH contribution has been made to the work on safeguarding and £25,000 from Skills for Care to support the workforce planning programme.

7. Recommendations

- 7.1 The Board is asked to:
- a) Agree the use of the CLG ring fenced resources of £212,000 in 2008/09 for the programme of work set out in the appendix.
 - b) Subject to confirmation of future funding, agree an indicative allocation of £200,000 from the EMIEP regional budget for 2009/10 to match the Adult Social Care Reform Grant in supporting this programme. (This is essential to enable the JIP to move forward on priority projects that need funding for 18 months to 2 years).

- c) Note that this is match funded by £200,000 of Adult Social Care Reform Grant, already in place for 2008/09 and 2009/10, and £250,000 (2008/09) from the NHS, DH and Skills for Care.
- d) Agree that a progress report including plans for 2009/10 will be submitted to the Board in February 2009

Chris Allison
Director EM IEP

(This paper was prepared with support from ADASS. Rachel Holynska, Deputy Regional Director, DH and Peter Murphy, Deputy Regional Director, CLG)

Appendix 1 : EXPENDITURE PLAN FOR DH and CLG RING-FENCED ALLOCATION

JIP Programme Overall objectives	PID outline Plan	PID Outputs & Outcomes	£ 2008/09	£ 2009/10
<p>Personalisation Programme chair I Anderson Elected Member Cllr Marsh, Lincolnshire CC</p> <p>PID 1: Programme objectives Shared vision of personalisation, with evidence of improved outcomes for people</p> <p>Financially sustainable systems and efficiency based on reduced spend in traditional services and assessment</p>	<p>Regional Transformation Programme Director with programme support to coordinate and drive the regional change programme</p> <p>Regional project group, but each council will receive tailored support from IMPOWER to develop systems and processes relevant to their needs</p> <p>Specific project to support personalisation for people with mental health needs</p>	<ul style="list-style-type: none"> Regional programme will bring together all agencies contributing to transformation into a coherent regional programme At a local level, each authority will receive a package of support, coordinated across IMPOWER, CSED, Skills for Care and other agencies Development of a financially sustainable model, with support (funded via Social Care Reform Grant and let through a competitive process to IMPOWER) Technical support for council leads to implement the Individual Budget systems, which support personalisation, making sure that they are kept informed of national best practice Review and develop approach to citizen's leaders and user led organisations by Mar 09 Develop options for brokerage models by Mar 09 Develop project to support people with mental health needs to access personal plans 	<p>142,000</p> <p>(100,000 already funded through social care reform grant)</p> <p>£100,000 (NHS)</p>	<p>125,000</p>
<p>Personalisation Programme PID 2: Efficiency programme</p> <p>Supported by CSED (Care Services Efficiency Delivery)</p> <p>Programme outcomes Efficiency gains supporting the overall transformation</p>	<p>Key project to support all councils with a tactical process to improve efficiency, applying nationally tested models developed by CSED</p> <p>Funding for council based project manager and CSED programme support working in each council</p> <p>Local authorities will commit staff time to work through the process in each council</p>	<ul style="list-style-type: none"> Project will establish baselines, share learning across councils and monitor delivery of efficiency Identify with each council and support them with the implementation of an agree savings plan to help them to meet their required 3% year on year efficiency target in CSR 07. It is important to note that delivery will vary by council, according to the level of efficiency already achieved and decisions about services made by each council. Where authorities have implemented re-ablement a full review will have taken place and improvement strategies would be implemented Development of training and communication package, in coordination with Skills for Care 	<p>110,000</p>	<p>205,000</p>

<p>Safeguarding Programme Chair S Johnson, Elected Member Cllr Liversidge Nottingham CC</p> <p>Programme objectives To make sure that adults are safe as they exercise more choice and control over their lives</p>	<p>Funding will secure a full time middle manager for a one year secondment to drive forward work at a regional level to respond to following on from CSCI's inspections / No Secrets Review & National & Regional Dignity in Care work</p>	<p>Regional work will provide:</p> <ul style="list-style-type: none"> • practical guidance for the management of risk in person centred approaches that promote independence • programme of work to respond to regional safeguarding priorities identified through the 5 November event and action needed to respond to national CSCI inspection • implementation of best practice in management of medication in care homes 	70,000	
<p>Health Well-being and Quality of Life Programme chair: D Pearson Elected Member Cllr Rhodes Nottinghamshire</p> <p>Programme objectives Enhanced quality of life for people through maximising community support</p> <p>Efficiency based on whole system service redesign</p>	<p>Secondment of one of the council carers leads to undertake a regional project, which will support local authorities to implement national carers strategy. Work will then be taken forward in each local authority</p> <p>Joint work across health and social care, with a particular focus on development of models for effective early intervention and the dementia strategy</p>	<p>This project will contribute to LAA national indicator 135: Proportion of carers receiving assessments or review & a specific carer's service, or advice & information as a % of clients receiving community based services</p> <p>Roll out of the POPPs¹ programme</p> <p>Work across NHS and local authorities:</p> <ul style="list-style-type: none"> - With public health on health inequalities - models for dementia - Joint teams / Long Term Conditions - end of life care - Intermediate Care - Falls prevention 	20,000 £100,000 (NHS)	
<p>Learning Disabilities Programme chair M Connell, Elected Member Cllr Golden Rutland CC</p> <p>Programme Objectives To make sure that people with</p>	<p>Project will work on two key areas:</p> <p>i) helping people with learning disabilities to move into employment (joint project for people with mental health problems co-funded by NHS)</p> <p>ii) supporting development of</p>	<p>Project will monitor take up of employment and access to Personal Plans for people with Learning Disabilities.</p> <p>This will help councils to demonstrate that they are providing equitable access to transformation to vulnerable groups and help to achieve the PSA and LAA target on social inclusion</p>	50,000	50,000

¹ National evaluation of Partnerships for Older People Projects (POPPs) University of Hertfordshire October 2007

Learning Disabilities can access new models of care and that Valuing People Now is implemented in the region	personalised plans			
<p>Workforce Programme Chair Charlie McNally, Elected Member Cllr Bromwich Northamptonshire</p> <p>Programme Objectives Analysis and planning for workforce changes, to support a new skill mix</p> <p>A wider vision for workforce – outside the boundaries of Local Councils – into the market and partners</p>	<p>This project will support local authorities to identify the skills that will be needed for new roles in workforce to respond to transformation agenda</p> <p>i) one piece of work will be provided by Skills for Care ii) one by a dedicated worker based in a local authority</p>	<p>DH target is for 100% of local authorities to have in place the systems to bulk upload their workforce data for adult social care into the national minimum dataset for social care (note match funding from SFC East Midlands to support delivery of this target)</p> <p>Full compliance by June 08 with a view to then having data available to support strategic workforce planning.</p> <p>By June 09 the project will identify skills required for new job roles and produce job descriptions that can be matched against occupational standards and develop qualifications, with a view to piloting in 09/10. An initial priority will be to collate and share best practice in terms of skill set and training for initial contact (call centre role) to complement work by CSED in Efficiency Project.</p> <p>Produce recommendations on workforce for services commissioned by local authorities to respond to transformation agenda.</p>	70,000*	20,000
TOTAL Programme		*Funding: £200,000 DH, £250,000 other sources including £200,000 NHS	£662,000*	£400,000

1	Agenda item 9 - appendix 2	Notes	SCR Grant £08/09	Other match funding £08/09	RIEP £08/09	Total £08/09	SCR Grant £ 09/10	Other Match funding £09/10	JIP £09/10	Total £09/10
PERSONALISATION										
1.1	Coordination and leadership for regional programme: outlined below - funding is for project assistant and AD to coordinate the regional programme for one year. The regional programme is outlined below				42,000	42,000	125,000			125,000
1.1a	Development of financial sustainability model. Contract for consultancy awarded to IMPOWER, funded via SCR Grant		70,000			70,000				0
	Personalisation lead employed up to March 08 using Social Care Reform Grant with planned outcomes below- further outcomes will be developed for 2009/10 programme		30,000			30,000				0
1.1b	Self-directed support network will ensure that staff working on implementation of personal budgets are kept informed of national thinking- tools and processes to be fed through this network					0				0
1.1c	By March 09 a view will have been reached about options for taking forward Citizen's leaders network and whether this will be at a regional, sub-regional local level with clarity about ongoing funding or cessation of the network					0				0
1.1d	The personalisation lead will map approaches to brokerage in the region, understand what works and skill mix required, develop framework and report back to the Personalisation Board by March 08					0				0
1.1e	Develop approaches for empowerment and personalisation for vulnerable groups, particularly people with mental health problems* Project to be agreed via Board and funded via NHS funding			100,000		100,000				0
	A council based project manager, will convene a regional project team, with input from each council to confirm baseline measurement and monitoring processes. The team will be supported by expert CSED (DH) programme managers to apply a nationally developed toolkit. The project anticipates releasing savings to councils, through i redesign of services to support people to become independent, which will reduce the cost of domiciliary care packages.		15,000		95,000	110,000	50,000		155,000	205,000
1.1.f	Support residential and nursing home procurement					0				0
TOTAL FUNDING PERSONALISATION			115,000	100,000	137,000	352,000	175,000	0	155,000	330,000

1		Agenda item 9 - appendix 2	Notes	SCR Grant £08/09	Other match funding £08/09	RIEP £08/09	Total £08/09	SCR Grant £ 09/10	Other Match funding £09/10	JIP £09/10	Total £09/10
2		SAFEGUARDING									
2.1		Regional Safeguarding Board- supported by a regional safeguarding delivery manager to deliver 2.2- funding via NHS		20,000	25,000	25,000	70,000	0		0	0
2.2		Regional work will respond to national inspection and provide: practical guidance and tools for staff to manage risk in person centred approaches and training for front line staff					0				0
TOTAL FUNDING SAFEGUARDING				20,000	25,000	25,000	70,000	0	0	0	0
3		HEALTH AND WELL BEING									
3.1		Development of local authority strategy for health and well-being					0				0
3.2		Joint work across local authorities and NHS on evidence based interventions for people with long term conditions					0				0
3.3		Extension of Dignity in Care work in residential and nursing homes and linking with personalisation developments	DH funded				0				0
3.4		Promoting learning from Pilots for Older People	DH funded staff				0				0
3.5		Appoint interim manager to develop models of support for carers		10,000		10,000	20,000				0
3.6		Development of joint work across NHS and local authorities to implement the dementia strategy* funding from NHS project to be agreed via Board			100,000		100,000				0
TOTAL FUNDING FOR HEALTH AND WELL BEING WORKSTREAM				10,000	100,000	10,000	120,000	0	0	0	0

1	Agenda item 9 - appendix 2	Notes	SCR Grant £08/09	Other match funding £08/09	RIEP £08/09	Total £08/09	SCR Grant £ 09/10	Other Match funding £09/10	JIP £09/10	Total £09/10
4	LEARNING DISABILITIES PROGRAMME									
4.1	Improving health To implement Darzi regional work plan and take forward work needed regionally to develop healthcare for people with LD, including commissioning for specialist healthcare, annual health checks and implementation of the Michael Report	funded via NHS				0				0
4.2	Improving housing Develop new models of housing and support, which will support the wider plans for NHS Campus home closure					0				0
4.3	Improving social inclusion To increase number of people in employment and/or with PCP- fits with regional priority on social inclusion	match funded in NHS	25,000		25,000	50,000	25,000		25,000	50,000
4.4	Making it happen Support transfer of commissioning to local authorities, develop good practice in Learning Disabilities Partnership Boards, each area to have a joint strategy					0				0
	TOTAL FUNDING LEARNING DISABILITIES PROGRAMME		25,000	0	25,000	50,000	25,000	0	25,000	50,000
6	CROSS CUTTING WORKFORCE AND LEADERSHIP									
6.1	SFC To complete work on national minimum dataset and use this to identify strategic priorities by June 08* SFC match funding			25,000	15,000	40,000				0
6.2	Project to work on new workforce - dedicated project worker hosted by a local authority		30,000			30,000	0		20,000	20,000
	TOTAL FUNDING CROSS CUTTING		30,000	25,000	15,000	70,000	0	0	20,000	20,000
	TOTAL FUNDING ACROSS ALL JIP PROGRAMMES		200,000	250,000	212,000	662,000	200,000	0	200,000	400,000