

## Agenda item No: 9

### EAST MIDLANDS IMPROVEMENT AND EFFICIENCY PARTNERSHIP BOARD MEETING: 12<sup>th</sup> SEPTEMBER 2008

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#### Report of the Director

#### EM IEP Income & Expenditure 2008/2009

1. Purpose of the report
  - 1.1 To summarise the current position regarding funding received from government and expenditure profiles to date.
2. Background
  - 2.1 At its last meeting on 29<sup>th</sup> May 2008 the EM IEP Board received the Business Delivery Plan. This contained a resource plan summarising anticipated income for the three years ending 31 March 2011. The Delivery Plan also included the indicative devolved allocation of resources for Sub Regional Partnerships, the regional investment programme budget, and the annual budget projections for the EM IEP support team, all summarised over the three year life span of the EM IEP.
  - 2.2 Notification has recently been received from the Communities and Local Government department (CLG) that further resources (£2.0m) have been provided to support Health, Well Being and Adult Social Care programmes in the nine regions. Allocations to individual regions are subject to the formulae used to allocate the wider RIEP grants. This will provide £212k for the East Midlands IEP.
3. Resource Update 2008/09
  - 3.1 The income and expenditure profiles up to 31 July 2008 are as follows:

Description	£m income	£m *Expenditure
EMCE/EMIP Legacy funding carried forward (existing programme)	2.661	0.329
CLG underspend grant (windfall) – allocated by the Member Board	1.606	0.004
CLG 08/09 grant allocation (70% to be devolved + support team overhead)	5.302 (4.002**)	0.050
Climate Change Best Practice Programme (DEFRA/CLG)	0.260	Nil
Adult Social Care – Wellbeing grant (DoH/CLG)	0.212	Nil
DCFS grant - regional support for the roll out of the commissioning framework	0.041	Nil
<b>TOTALS</b>	<b>10.082</b>	<b>0.383</b>

\* Details of expenditure can be found in appendices 2 & 3

\*\*denotes that the retention of £1.3m of CLG grant which will be lifted when the CLG/LGA are satisfied with the EM IEP governance arrangements, including the terms of reference/governance of the 5 Sub Regional Partnerships.

- 3.2 The climate change initiative is to be targeted at a programme of best practice knowledge sharing. This programme is being worked up by a network of local authority specialists, EMRA, emda and the IDeA. This aspect will form part of a larger EM IEP Environmental Services programme including waste management and environmental cleanliness. A further report will be presented to the EM IEP Board on a proposed regional programme of investment.
- 3.3 The recent communication concerning the use of the Health/Social Care/Wellbeing resources is **attached as Appendix 1**. A programme will need to be developed in conjunction with the Directors of Adult Social Care/Joint Improvement Partnership (JIP) and the Board's views are welcomed on issues Members feel should be addressed in such a programme.
- 3.4 Additional, ring fenced, funding of £40,737 has been received from the Department for Schools Children and Families (DCFS) for the continued support and development of the East Midlands Strategic Commissioning Partnership activities. Derby City Council is managing this project on behalf of the region.
- 3.5 Expenditure against EMCE/EMIP legacy projects is summarised in **Appendix 2**. A review of progress and resource usage is now in place and outcomes will be reported to the EM IEP Board at its next meeting. Underspendings are anticipated during 2008/09.
- 3.6 Elsewhere on the agenda is a report containing recommendations from the Officer Steering Group for a regional investment programme in 2008/09. If agreed by the EM IEP Board, this will require the following investments from the 2008/09 30% regional allocation:

	£(k)
Adult Social Care Programme (JIP)	100
Shaping the Care Services market for Adults with Learning Disabilities and high support needs	75
Shaping the market for Children in Care	56
East Midlands Property Alliance	150
Midlands Highways Alliance	50
Support for authorities/services in difficulty	270
Capacity building activities workshops and events	158
<b>TOTAL:</b>	<b>859</b>

**Appendix 3** contains a summary of allocated resources to these projects, plus capacity building activities previously approved in the business delivery plan.

- 3.7 This appendix also summarises the resource allocations to be made to each Sub Regional Partnership, including those relevant activities contained in the CLG 'windfall' grant, previously approved by the Board.

- 3.8 **Appendix 4** contains a high level summary of expenditure for the EM IEP support core team up to and including 31 July 2008. Underspendings are likely for 2008/09.
- 3.9 The minutes of the last EM IEP Board meeting (item 3 on the agenda) page 3, describes the action to discuss further the allocation of £100k set aside for Councillor Development. Members will note the proposal to consider a larger allocation to Lincolnshire from this resource to support the two tier Pathfinder Project. However, the 'windfall' grant activities also included a specific sum of £50k to support the Lincolnshire two tier Pathfinder Project. It is suggested Members give this action further consideration and decide whether the Lincolnshire Pathfinder Project should be granted further resources, as summarised in the minutes of the 29<sup>th</sup> May Board meeting.
- 3.10 The EM IEP Board will be pleased to note that the CLG/LGA and the RIEP Directors have agreed that the national fund for Local Improvement Advisors (LIAs) of c£3.5m will be passed to the RIEPs to manage directly. The resources will be used to support local authorities achieve their Local Area Agreement targets and outcomes, using the expert advisers contained within the LIAs national register.

It is envisaged that bids by regions will be vetted/approved by a national steering group of RIEP representatives, under the guidance of the West Midlands RIEP and CLG. The support available from this fund will be published in the EM IEP prospectus and it is anticipated our share of the resources could yield a further c£300k of investment opportunities for local authorities.

#### 4. Recommendations

- 4.1 The EM IEP Board is recommended to consider and agree:
- a) The funding and expenditure to date as set out in the report
  - b) The specific regional activities proposed by the Officer Steering Group, which form a separate report on the agenda.
  - c) That the review of the EMCE/EMIP legacy programme, currently underway, will form the basis of a further report to the Board
- 4.2 The Board note that targeted resources for Climate Change, Adult Social Care/Health/wellbeing and Local Area Agreement support from the LIAs fund have been confirmed by government and ideas concerning programme development be considered by the Board.
- 4.3 The Board consider the proposal to allocate further resources to the Lincolnshire two tier Pathfinder Project and agree upon its approach.

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**Director EM IEP**



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4 August 2008

Dear Colleague

**JOINT FUNDING OF £4MILLION TO SPREAD BEST PRACTICE AND TO PROMOTE THE PERSONALISATION OF HEALTH, WELL BEING AND SOCIAL CARE**

We would like to congratulate you on the good work that has resulted in the establishment of the Regional Improvement and Efficiency Partnerships (RIEPs) and regional Joint Improvement Partnerships (JIPs), and we are very encouraged by the links that are being built between you. RIEPs and JIPs are demonstrating an important and growing focus on health and well-being outcomes.

We are now releasing the £4million pooled funding announced on 18 March so that it can be used to accelerate delivery of the elements of your regional strategies that contribute to committed partnership working between local government and the NHS. The £2million the CLG is contributing, which is currently held by the SE RIEP, will be distributed to RIEPs using the formula agreed for the allocation of resources to support the delivery of regional strategies. The Department of Health has already distributed £100,000 to each regional JIP, and the balance will be distributed equally to each region in September.

We would like to confirm the five themes, which have been discussed with you, and which this funding is designed to support or accelerate within regional work programmes:

1. Supporting good practice in the development of an integrated health and well-being system.
2. Exploiting opportunities to accelerate *World Class Commissioning* by Councils and their partners.
3. Identifying a group of councils and PCTs as "champions" which can accelerate the roll out of good practice in collaboration with voluntary and private sector partners.
4. Strengthening the leadership of the health and well being agenda, particularly at the political/corporate level in councils.
5. Promoting independence/early intervention in every region in relation to health, wellbeing and social care through pooled and individual budgets; identifying and acting on policy/practice obstacles.

While we appreciate the time and effort that has gone into establishing the RIEPs and regional JIPs, and accept there will be different approaches in different areas to take account of local requirements, we all agree on the benefits of engaging partners in the range of your activities. In addition to local government officers and others from national organisations, we would expect you to involve representatives of:

1. Service users/carers;
2. Adult social care cabinet members;
3. Private sector service providers;
4. Community and voluntary sector, both as service providers and community representatives; and
5. Workforce representatives.

We would be pleased to meet you before Christmas to hear from you about progress, with a follow-up session in March or April 2009. While we anticipate it could involve some of you presenting outcomes that have been achieved, we will leave the mechanism and format to you. We will be particularly interested in hearing how you are:

1. Streamlining the activities of the various improvement agencies highlighted in the prospectus launched in March.
2. Supporting the delivery of targets agreed in your region's Local Area Agreements and measuring outcomes that emerge from the delivery of your regional programmes
3. Supporting improved commissioning processes which are fully integrated with World Class Commissioning.
4. Involving a wide range of stakeholders to secure their contribution to the various activities you are undertaking so you can spread best practice and promote the personalisation of health, well being and social care.

We both look forward to learning about the progress you have made later in the year.

Yours sincerely



**John Healey MP**



**Ivan Lewis MP**

East Midlands Regional Improvement & Efficiency Partnership				Appendix 2			
Cost Centre	Description	Grant Allocation	Actual 2006/07	Actual 2007/08	Actual 2008/09	Balance c/f	
<b>Legacy EMCE/EMIP Projects</b>							
PFFU557	50% Subsidy for Efficiency Peer Review Lincs	21,000	3,000	3,000	9,000	6,000	
PFFU869	Member Development	197,520	115,713	38,134	43,673	0	
PFFU901	Peer Review - 100% subsidy to all councils	370,000		109,300	8,700	252,000	
PFFU902	1 Free Leadership Academy place for each council	70,000		29,400	1,400	39,200	
PFFU903	National Programme Councillor Mentoring	123,000				123,000	
PFFU905a	Exploit National Programmes Real Improvement, Real Time	117,500		4,987	33,888	78,626	
PFFU905b	Exploit National Programmes National Graduate Prog	16,000				16,000	
PFFU905c	Exploit National Programmes Future Leaders Programme	153,700		29,050		124,650	
PFFU906	Integrate good practice info onto regional IDeA Knowledge	5,000				5,000	
PFFU909	Implementing Pay & Workforce Strategy	20,000		1,116		18,884	
PFFU914	Development support for Councillors Development Charter	200,000		92,473		107,527	
PFFU915	Design/development of academy for management development	160,000		75,953		84,047	
PFFU916	Sharing 'best practice' & mapping competency framework	75,000		28,194		46,806	
PFFU917	Design & implement training & development strategy	75,000		1,370		73,630	
PFFU920	50% subsidy for Efficiency Peer Reviews	71,000		6,600		64,400	
PFFU924	Share LAA/LSP best practice - one off event & website dev	138,000		32,033	3,450	102,517	
PFFU834	Implementing Preferred Corporate Serves Solutions Chesterfield	50,000			14,686	35,314	
PFFU576	Share Support and Transactional Services - Rushcliffe BC	50,000	40,000			10,000	
PFFU831	Regional Cultural Improvement Programme East Midlands	270,000		166,000		104,000	
PFFU839	East Midlands Regional Contract Opportunities	100,000		22,272	22,168	55,560	
PFFU851	East Midlands Highways Alliance Leics	227,000		63,127	82,849	81,024	
PFFU860	Restructuring Station Support Groups	70,000		50,000	20,000	0	
PFFU865	East Midlands Shared Services Partnership EMSS Phase 1	150,000		19,774		130,226	
PFFU922	VfM training workshops x 7 provided by 4Ps	9,683		7,500		2,183	
PFFU931	Support for Councils inc Peer Mentor/Leadership/LGU HR	280,000		179,200		100,800	
PFFU932	Business Process Improvement (inc. programme manager)	250,000		45,000	21,127	183,873	
PFFU527	CC Citizen Authentication DCC	50,000		19,475		30,525	
PFFU570	Community Alarms DCC	85,000		39,286	18,495	27,220	
PFFU827	Property Rationalisation on behalf of Derbyshire	50,000				50,000	
PFFU828	Information Architecture on behalf of Derbyshire	100,000				100,000	
PFFU568	Joint Procurement Capacity Building Leics	147,000		50,000	50,000	47,000	
PFFU862	Operational Command Development Centre Northants	50,000				50,000	
		<b>3,751,403</b>	<b>158,713</b>	<b>1,113,244</b>	<b>329,436</b>	<b>2,150,010</b>	

East Midlands Regional Improvement & Efficiency Partnership		Appendix 3		
Cost Centre	Description	Grant Allocation	Actual 2008/09	Balance c/f
<b>RIEP Regional Projects</b>				
PFFU566	Programme Manager (Care Services)	67,000	20,668	46,332
PFFU940	Shaping Care Services Adults with LD & High Support Needs	75,000		75,000
PFFU941	Adult Social Care Programme	100,000		100,000
PFFU942	Personalisation of Health , Wellbeing and Social Care	212,000		212,000
PFFU944	Shaping the Market for Children in Care	56,000		56,000
PFFU945	DCFS Funded Project Regional Support	40,737		40,737
PFFU946	Leadership Centre Pilot	20,000		20,000
PFFU950	EM Highways Alliance	50,000		50,000
PFFU951	EM Property Alliance	150,000		150,000
PFFU952	Programme Manager (Climate Change/Environmental Services)	52,000		52,000
PFFU953	Climate Change Programme	235,000		235,000
PFFU956	Preventative & Early Intervention Support	270,000		270,000
PFFU958	Business Improvement Techniques Training	50,000		50,000
PFFU959	VfM training	50,000	4,140	45,860
PFFU960	BPI Consultance Support	400,000		400,000
PFFU961	Regional Benchmarking	35,000	23,365	11,635
PFFU962	Workshops/Networks/Regional Development Activity	158,000	5,931	152,069
	Contingency	274,000		274,000
		<b>2,294,737</b>	<b>54,104</b>	<b>2,240,633</b>
<b>Leics Sub Region Partnership</b>				
PFFU963	Building SRP Programme Management Leics	50,000		
PFFU964	LAA/MAA awareness raising/peer challenge Leics	16,000		
PFFU965	Councillor Development Leics	20,000		
PFFU966	South Leics Partnership integrated waste/other environmental	150,000		
	Sub Regional Programme Allocation	668,000		
		<b>904,000</b>		
<b>Lincs Sub Region Partnership</b>				
PFFU968	Building SRP Programme Management Lincs	50,000		
PFFU969	Work to Support Official Two-Tier Pathfinder Lincs	50,000		
PFFU970	LAA/MAA awareness raising/peer challenge Lincs	16,000		
PFFU971	Shared Network Infrastructure Lincs	50,000		
PFFU972	Councillor Development Lincs	20,000		
	Sub Regional Programme Allocation	668,000		
		<b>854,000</b>		
<b>Notts Sub Region Partnership</b>				
PFFU974	Building SRP Programme Management Notts	50,000		
PFFU975	LAA/MAA Awareness Raising/Peer Challenge	16,000		
PFFU976	Integrating waste/recycling services in Notts	100,000		
PFFU977	Councillor Development Notts	20,000		
	Sub Regional Programme Allocation	668,000		
		<b>854,000</b>		
<b>Derbys Sub Region Partnership</b>				
PFFU979	Building SRP Programme Management Derbys	50,000		
PFFU980	LAA/MAA Awareness Raising/Peer Challenge Derbys	16,000		
PFFU981	Integrating waste/recycling services Derbys	100,000		
PFFU982	Councillor Development Derbys	20,000		
	Sub Regional Programme Allocation	668,000		
		<b>854,000</b>		
<b>Northants Sub Region Partnership</b>				
PFFU984	Building SRP Programme Management Northants	50,000		
PFFU985	LAA/MAA Awareness Raising/Peer Challenge Northants	16,000		
PFFU986	Integrating Waste/Recycling Services Northants	100,000		
PFFU987	Councillor Development Northants	20,000		
	Sub Regional Programme Allocation	668,000		
		<b>854,000</b>		
		<b>6,614,737</b>		

<b>East Midlands Regional Improvement &amp; Efficiency Partnership Support Team</b>		<b>Appendix 4</b>	
<b>Account</b>	<b>Account Narrative</b>	<b>Annual Budget</b>	<b>Actual To Date</b>
	<b>Total Employees</b>	<b>405,140</b>	<b>105,730</b>
	<b>Total Premises</b>	<b>27,316</b>	<b>5,925</b>
	<b>Total Transport</b>	<b>28,850</b>	<b>4,406</b>
	<b>Total Supplies &amp; Services</b>	<b>93,445</b>	<b>7,181</b>
	<b>Total Internal Services</b>	<b>12,142</b>	<b>0</b>
	<b>Grand Total</b>	<b>566,893</b>	<b>123,242</b>
	<b>Other Employee Exp (severance costs &amp; liabilities)</b>	<b>200,000</b>	<b>0</b>